09-20- 6 Attorney Docket No. 29240-001

Express Mail Label No.: EV538969671US E Date of Deposit: September 18, 2006

SEP 1 8 2006

IN THE UNITED STATE PATENT AND TRADEMARK OFFICE

pplicant:

Robert K. Samson

Serial No.:

09/766,277

Filed:

January 19, 2001

For:

INVESTMENT GUIDANCE SYSTEM WHICH ENABLES INDIVIDUALS TO RATE AND

SELECT ASSETS BASED ON PERSONAL INVESTMENT PREFERENCES

Examiner:

Daniel S. Felten

Art Unit:

3624

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified application please find the following documents:

- 1. Response to Notice of Non Compliant Amendment Dated September 11, 2006 (13 pgs);
- 2. Return Postcard.

Although Applicant believes no fees are due with this submission, the Commissioner is hereby authorized to charge any fee that may be due to Deposit Account No. 50-0311, Reference No. 29240-001. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Alison L. McCarthy, Esq. (Reg. No. 51,998)

Attorney for Applicant Mintz, Levin, Cohn, Ferris Glovsky and Popeo, P.C.

Telephone: (617) 542-6000 Facsimile: (617) 542-2241

Date: September 18, 2006

Customer No. 30623

TRA 2199830v.1

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RESPONSE TO NOTICE OF NON COMPLIANT AMENDMENT DATED SEPTEMBER 11, 2006

Sir:

In response to the Office Action dated September 11, 2006, please amend the application as follows and consider the following remarks.

Amendments to the claims are reflected in the listing of claims, which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.